Ranked by number of financial planners in the region. Listed alphabetically in the event of a tie.

	Name Address Area code: 914, unless otherwise noted Website	Top local executive Contact Email address Year firm established	Number of financial planners in county	Average AUM per client ($) Minimum annual fee ($)	Total AUM ($)	Planning/management services offered: fee based	commission based	estate planning	family and legal	investment management	philanthropy	risk management	tax planning	
1	**Strategies for Wealth** 800 Westchester Ave., Suite N409, Rye Brook 10573 288-8800 • strategiesforwealth.com	Josh Becker, Jerry Harnik, Lyle Domenitz and Jeff Neeck larpa@strat4wealth.com ccatts@strategiesforwealth.com 1934	150 (12 additional in Fairfield County)	N/A	2.4 billion	✓	✓	✓		✓		✓	✓	Life and disability insurance
2	**Clarfeld Financial Advisors** 520 White Plains Road, Tarrytown 10591 846-0100 • clarfeld.com	Robert A. Clarfeld wealth@clarfeld.com 1981	37	18 million 10,000	6.5 billion	✓		✓	✓	✓	✓	✓	✓	Divorce planning, personal financial management, retirement planning, tax preparation, wealth-transfer strategies and LifeSlate (specialty archive service)
3	**Altium Wealth** 2500 Westchester Ave., Suite 210, Purchase 10577 777-2500 • altiumwealth.com	Anthony DeStefano, Jim Dowling info@altiumwealth.com 2010	14	1.7 million Negotiable	1.226 million	✓		✓		✓	✓	✓		Business-transition planning, trusts and estates, cash-flow forecasting, family office/outsourced CIO, investment consulting, insurance and risk-management consulting
4	**LEXCO Wealth Management Inc.** 120 White Plains Road, Suite 112, Tarrytown 10591 468-8900 • lexcowealth.com	Christopher P. Jordan Betti J. Barone bbarone@lexcowealth.com 1999	8 (One additional in Fairfield County)	800,000 N/A	820 million	✓	✓	✓	✓	✓	✓	✓	✓	N/A
5	**Pell Wealth Partners** (A private wealth advisory practice of Ameriprise Financial Services Inc.) 800 Westchester Ave., Suite S300, Rye Brook 10573 253-8800 • pellwealthpartners.com	Geri Pell geri.e.pell@ampf.com 1986	7	WND	1.15 billion	✓				✓				Financial, intergenerational and divorce financial planning, socially responsible investing, women's financial strategies and wealth-preservation strategies retirement
6	**ITI Strategies Inc.** 994 Main St., Peekskill 10566 734-2800, ext. 20 • itistrategies.com	Lawrence J. DeNoia and Daniel V. Giaimo larry@itistrategies.com dgiaimo@itistrategies.com 1991	4	500,000 100,000	200 million	✓	✓	✓		✓			✓	Retirement and insurance planning, business-succession planning, corporate benefit planning, pension and profit sharing and long-term care insurance planning
	Tompkins Financial Advisors 10 Bank St., White Plains 10606 946-1277 • tompkinsfinancialadvisors.com	Bill Winters bwinters@tompkinsfinancial.com 1890	4	1 million 1.25 percent	5.5 billion	✓		✓	✓	✓	✓	✓	✓	N/A
7	**Financial Services Center Asset Management Inc.** 3944 Route 9G, Red Hook 12571 845-876-1919 • finserctr.com	Joseph F. Christiana jchristiana@finserctr.com 2003	3	50,000 .65-1.5 percent	15 million	✓		✓		✓		✓	✓	Fee-based RIA, tax preparation and planning and life, accident and health insurance
	The Ostrove Group Inc. 4 New King St., Suite 101, White Plains 10604 428-4095 • ostrovegroup.com	Mitchell Ostrove mitch@ostrovegroup.com 1965	3	1 million 1 percent	100+ billion	✓	✓	✓	✓	✓	✓	✓	✓	Retirement planning, pensions, disability and long-term care insurance
8	**Eastern Planning Inc.** 800 Westchester Ave., Suite 641N, Rye Brook 10573 845-627-8300 • easternplanning.com	Beth Blecker bblecker@easternplanning.com 1995	2	500,000 1 percent	6 billion		✓	✓		✓		✓		Family wealth management
	Emerald Retirement Planning Group 5 Joyce Plaza, Stony Point 10980 845-942-8578 • emeraldretirement.com	Matthew S. Clement matthew@emeraldretirement.com 2004	2	N/A No minimum	70 million	✓		✓	✓	✓	✓	✓		Retirement planning, college planning, Medicare consulting
	High Tower Westchester 440 Mamaroneck Ave., Suite 506, Harrison 10528 825-8630 • hightowerwestchester.com	Peter Lang Roman Closek plang@hightoweradvisors.com rclosek@hightoweradvisors.com 2010	2	2 million N/A	400 million	✓		✓	✓	✓	✓	✓	✓	Retirement planning, insurance and liability management
	John G. Ullman & Associates Inc. 30 E. Market St., Suite 1, Rhinebeck 12572 866-819-5046 • jgua.com	John G. Ullman 1978	2	N/A No minimum	770 million	✓		✓	✓	✓	✓	✓	✓	In-house tax department and retirement planning
	LoB Planning Group 2900 Westchester Ave., Suite 308, Purchase 10577 428-6440 • lobplanning.com	Adam Rude 1987	2	N/A N/A	N/A	✓	✓	✓		✓	✓	✓		Insurance, including whole life, universal life, variable universal life, term, disability and long-term care
	The Peloso-Barnes Group at Morgan Stanley 2000 Westchester Ave.,1NC, Purchase 10577 225-6391 • famorganstanley.com/pelosobarnesgroup	Julia A. Peloso-Barnes and Erik Hayden Julia.peloso-barnes@morganstanley.com Erik.hayden@morganstanley.com 1984	2	N/A	115 million	✓	✓	✓		✓		✓		Comprehensive financial, LGBT financial and planning for families of children with special needs
	Sinnott Wealth Management Inc. 555 Taxter Road, Suite 190, Elmsford 10523 909-1524 • sinnottwealthmanagement.com	George S. Sinnott george@sinnottwealthmanagement.com Louann Rooney 1978	2	500,000 0	125 million	✓	✓	✓	✓	✓	✓	✓	✓	Retirement-distribution planning
9	**Ameriprise Financial Services Inc.** 111 Brook St., Third floor, Scarsdale 10583 341-1482 • michaelmazzilli.com	Michael Mazzilli michael.x.mazzilli@ampf.com 1894	1	500,000 1,000	WND			✓	✓	✓	✓	✓	✓	N/A
	The Mitchell WealthCare Group 709 Westchester Ave., Suite 400, White Plains 10604 287-6074 • ubs.com/team/themitchellgroup/	Barry P. Mitchell Jr. Maggie Smith maggie.smith@ubs.com 2011	1	Varies	400 million	✓	✓	✓		✓	✓	✓		Retirement, longevity, income, cash flow and college planning and disability, life and long-term care insurance
	Paul M. Petrone CFP, CPA 67 Burns Place, Briarcliff Manor 10510 944-3073	Paul M. Petrone petronefinancial@yahoo.com 1991	1 (One additional in Fairfield County)	Based on clients' needs	N/A	✓	✓	✓		✓		✓	✓	Social security, retirement income and tax specialist
Not ranked	**Morgan Stanley** 2000 Westchester Ave., Suite 1NC, Purchase 10577 225-5510 • morganstanleybrfanch/purchase	Theresa Ferri terri.ferri@morganstanley.com 1935	N/A	N/A	140+ million	✓	✓			✓	✓	✓		Banking and lending, insurance, annuities, philanthropic services, retirement planning and asset allocation

This list is a sampling of wealth management firms which are located in and serve the region. If you would like to include your firm in our next list, please contact Peter Katz at pkatz@westfairinc.com.
Compiled from the latest data provided by firms responding to our survey and other sources.
N/A = Not available.
WND = Would not disclose.