

Ranked by number of financial planners in the county. Listed alphabetically in the event of a tie.

Rank	Name Address Area code: 203, unless otherwise noted Website	Top local executive Contact Email address Year firm established	Number of financial planners in county	Average AUM per client (\$) Minimum annual fee (\$)	Total AUM (\$)	Planning/management services offered							
						fee based	commission based	estate planning	family and legal	investment management	philanthropy	risk management	tax planning
1	Northwestern Mutual Financial - Fairfield 1 Eliot Place, Suite 200, Fairfield 06824 259-3377 • fairfield.nm.com	James R. Corso 1857	94 (21 in Westchester and the Hudson Valley, N.Y.)	WND no fee	706.2 million	✓	✓		✓	✓			Retirement, education, estate, corporate and small-business benefits, investment, risk management and insurance
	Northwestern Mutual Financial - Stamford 333 Ludlow St., South Tower, Floor 6, Stamford 06902 653-2100 • stamford.nm.com	Alex Beilin 1857	94 (21 in Westchester and the Hudson Valley, N.Y.)	WND no fee	1.56 billion	✓	✓		✓	✓			Retirement, education, estate, corporate and small-business benefits, investment, risk management and insurance
	Northwestern Mutual Financial - Westport 274 Riverside Drive, Fourth floor, Westport 06880 221-5200 • westport.nm.com	Mary Lundstrom 1857	94 (21 in Westchester and the Hudson Valley, N.Y.)	WND no fee	706.2 million	✓	✓		✓	✓			Retirement, education, estate, corporate and small-business benefits, investment, risk management and insurance
2	Barnum Financial Group (An office of MassMutual) 6 Corporate Drive, Shelton 06484 513-6000 • barnumfinancialgroup.com • massmutual.com	Paul Blanco pblanco@metlife.com 1950	51 (15 additional advisors in Westchester County, N.Y.)	WND	10 billion			✓	✓	✓	✓	✓	Retirement and special-needs planning, financial education, corporate benefits and business planning
3	Janney Montgomery Scott LLC 800 Post Road, Second floor, Darien 06820 655-8266 • janney.com	James Bakal jbakal@janney.com 1832	22	183,000 N/A	52.6 billion	✓	✓	✓		✓	✓	✓	Retirement income planning
4	HTG Investment Advisors Inc. 50 Locust Ave., New Canaan 06840 972-8262 • htginvestmentadvisors.com	Thomas Gnuse Robin Sherwood robin@htgadvisors.com 1993	9	2 million \$6,000 annually	400 million	✓				✓			Financial and retirement planning, education funding, charitable-giving strategies, multigenerational wealth transfer and tax-savings opportunities
5	Reby Advisors LLC 44 Old Ridgebury Road, Danbury 06810 790-4949 • rebyadvisors.com	Robert Reby Laurie Ham beth@rebyadvisors.com 1985	5 (Five additional in the Hudson Valley)	750,000 No minimum fee	450 million	✓	✓	✓	✓	✓	✓	✓	Financial planning and wealth management
	Resnick Advisors 20 Ketchum St., Westport 06880 226-8262 • resnickadvisors.com	Jay Caraviello Joanne Bock jbock@resnickadvisors.com 1990	5	992,000 2,000	915.4 million	✓	✓	✓	✓	✓	✓	✓	✓
	Westport Resources (A division of United Capital Financial Resources LLC) 55 Greens Farm Road, Westport 06880 226-0222 • westportresources.com	John A. Vaccaro Ashley Schexnaildre ashley.schexnaildre@unitedcp.com 1987	5	3 million No minimum fee	1.1 billion	✓	✓	✓		✓	✓		Family-office services
6	Advanced Financial Advisors LLC 2 Old New Milford Road, Suite 3F, Brookfield 06804 796-0082 • planafa.com	John L. O'Brien John O'Brien@lfg.com 1991	4	1.3 million No minimum fee	55 million	✓	✓	✓		✓	✓	✓	Financial, retirement and college planning
	Catamount Wealth Management 943 Post Road East, Westport 06880 226-0603 • catmg.com	Laurie Stefanowicz and Lou Albanese lstefanowicz@catmg.com lalbanese@catmg.com 2003	4	775,000 500,000	240 million	✓						✓	Comprehensive investment-management solutions
	United Capital Financial Advisers LLC 55 Greens Farms Road, Suite 1, Westport 06880 226-0222 • unitedcp.com/ct2	John Adams Vaccaro john.vaccaro@unitedcp.com 1986	4	5 million No minimum fee	\$40 million locally and \$20 billion nationally	✓	✓	✓	✓	✓	✓	✓	✓
7	HighTower - RDM Financial Group Inc. 10 Wright St., First floor, Westport 06880 255-0222 • rdminancial.com	Ronald D. Weiner rdm@rdmfinancial.com 1994	3	WND	624 million	✓				✓	✓	✓	Business-succession planning, intergenerational wealth transition, executive benefits, life insurance analysis and planning, pension and defined contribution planning, individual retirement distribution and retirement income planning, health care and special-needs planning
8	Tuttle Wealth Management LLC 155 Lockwood Road, Riverside 06878 888-723-2821 • tuttleatct.com	Matthew Tuttle mtuttle@tuttlewealth.com 2003	2	WND	200 million	✓				✓			Financial advisors, insurance agents and financial planners
Not ranked	Merrill Lynch - Frank C. Rogers 2 Pickwick Plaza, Fourth floor, Greenwich 06830 861-5967 • fa.ml.com/frank_rogers	N/A	N/A	N/A	77 million	✓	✓	✓		✓	✓	✓	Portfolio management, retirement planning, cashless options and restricted stock sales, trust and estate planning, residential and commercial lending and equipment leasing

This list is a sampling of wealth management firms which are located in and serve the region. If you would like to include your firm in our next list, please contact Peter Katz at pkatz@westfairinc.com.
Compiled from the latest data provided by firms responding to our survey and other sources.
N/A = Not available.