

Ranked by number of financial planners in the county. Listed alphabetically in the event of a tie.

Name Address Area code: 203, unless otherwise noted Website		Top local executive Contact Email address Year firm established	Number of financial planners in county	Average AUM per client (\$) Minimum annual fee (\$)	Total AUM (\$)	Planning/management services offered							
						fee based	commission based	estate planning	family and legal	investment management	philanthropy	risk management	tax planning
1	Barnum Financial Group (An office of MassMutual) 6 Corporate Drive, Shelton 06484 513-6000 • barnumfinancialgroup.com • massmutual.com	Paul Blanco pblanco@metlife.com 1950	51 (15 additional advisors in Westchester County, N.Y.)	WND	10 billion			✓	✓	✓	✓	✓	Retirement and special-needs planning, financial education, corporate benefits and business planning
2	Northwestern Mutual - Stamford 400 Atlantic St., Third floor, Stamford 06901 653-2100 • stamford.nm.com	J. Philip Bender phil.bender@nmfn.com 1857	37	NA	445.1 million	✓	✓	✓		✓		✓	Retirement, education and corporate and small-business benefits
3	Northwestern Mutual Financial - Fairfield 1 Eliot Place, Suite 200, Fairfield 06824 259-3377 • fairfield.nm.com	James R. Corso james.corso@nm.com 1857	36	WND	261.5 million	✓	✓	✓		✓		✓	Retirement, education and corporate and small-business benefits
4	Northwestern Mutual Financial - Westport 274 Riverside Drive, Fourth floor, Westport 06880 221-5200 • westport.nm.com	Mary Grace Lundstrom mary.lundstrom@nm.com 1857	24	WND	613.7 million	✓	✓	✓		✓		✓	Retirement, education and corporate and small-business benefits
5	Janney Montgomery Scott LLC 800 Post Road, Second floor, Darien 06820 655-8266 • janney.com	James Bakal jbakal@janney.com 1832	22	183,000 NA	52.6 billion	✓	✓	✓		✓	✓	✓	Retirement income planning
6	HTG Investment Advisors Inc. 50 Locust Ave., New Canaan 06840 972-8262 • htginvestmentadvisors.com	Thomas Gnuse Robin Sherwood robin@htgadvisors.com 1993	9	2 million \$6,000 annually	400 million	✓				✓			Financial and retirement planning, education funding, charitable-giving strategies, multigenerational wealth transfer and tax-savings opportunities
7	Reby Advisors LLC 44 Old Ridgebury Road, Danbury 06810 790-4949 • rebyadvisors.com	Robert Reby Laurie Ham beth@rebyadvisors.com 1985	5 (Five additional in the Hudson Valley)	750,000 No minimum fee	450 million	✓		✓	✓	✓	✓	✓	Financial planning and wealth management
	Resnick Advisors 20 Ketchum St., Westport 06880 226-8262 • resnickadvisors.com	Jay Caraviello Joanne Bock jbock@resnickadvisors.com 1990	5	992,000 2,000	915.4 million	✓		✓	✓	✓	✓	✓	Financial planning and divorce advisory services
	Westport Resources (A division of United Capital Financial Resources LLC) 55 Greens Farm Road, Westport 06880 226-0222 • westportresources.com	John A. Vaccaro Ashley Schexnaidre ashley.schexnaidre@unitedcp.com 1987	5	3 million No minimum fee	1.1 billion	✓	✓	✓		✓	✓		Family office services
8	Advanced Financial Advisors LLC 2 Old New Milford Road, Suite 3F, Brookfield 06804 796-0082 • planafa.com	John L. O'Brien Elizabeth Castellano elizabeth.castellano@ifg.com 1991	4	1.3 million No minimum fee	55 million	✓	✓	✓		✓	✓	✓	Financial, retirement and college planning
	Catamount Wealth Management 943 Post Road East, Westport 06880 226-0603 • catmg.com	Laurie Stefanowicz and Lou Albanese lstefanowicz@catmg.com lalbanese@catmg.com 2003	4	775,000 500,000	240 million	✓				✓		✓	Comprehensive investment management solutions
	United Capital Financial Advisers LLC 55 Greens Farms Road, Suite 1, Westport 06880 226-0222 • unitedcp.com/ct2	John Adams Vaccaro john.vaccaro@unitedcp.com 1986	4	5 million No minimum fee	\$40 million locally and \$20 billion nationally	✓		✓		✓	✓	✓	Financial planning and financial life management
9	HighTower - RDM Financial Group Inc. 10 Wright St., First floor, Westport 06880 255-0222 • rdmfinancial.com	Ronald D. Weiner rdm@rdmfinancial.com 1994	3	WND	624 million	✓				✓	✓	✓	Business-successtion planning, intergenerational wealth transition, executive benefits, life insurance analysis and planning, pension and defined contribution planning, individual retirement distribution and retirement income planning, health care and special-needs planning
10	Tuttle Wealth Management LLC 155 Lockwood Road, Riverside 06878 888-723-2821 • tuttleatctical.com	Matthew Tuttle mtuttle@tactical.com 2003	2	WND	200 million	✓				✓			Financial advisors, insurance agents and financial planners
Not ranked	Merrill Lynch - Frank C. Rogers 2 Pickwick Plaza, Fourth floor, Greenwich 06830 861-5967 • fa.ml.com/frank_rogers	NA	NA	NA	77 million	✓	✓	✓		✓	✓	✓	Portfolio management, retirement planning, cashless options and restricted stock sales, trust and estate planning, residential and commercial lending and equipment leasing

This list is a sampling of financial planners and wealth managers that are located in the region. If you would like to include your firm in our next list, please contact Danielle Renda at drenda@westfairinc.com.

NA Not available.
WND Would not disclose.