Ranked by number of financial planners in the region. Listed alphabetically in the event of a tie.

	Name Address Area code: 914, unless otherwise noted Website	Top local executive Contact Email address Year firm established	Number of financial planners in county	Average AUM per client ($) Minimum annual fee ($)	Total AUM ($)	Planning/management services offered: fee based	commission based	estate planning	family and legal	investment management	philanthropy	risk management	tax planning	
1	**Strategies for Wealth** 800 Westchester Ave., Suite N409, Rye Brook 10573 288-8800 • strategiesforwealth.com	Josh Becker Kelley Biondi kbiondi@strategiesforwealth.com 1934	150	WND	2.06 billion	✔	✔	✔		✔		✔		NA
2	**Clarfeld Financial Advisors** 520 White Plains Road, Tarrytown 10591 846-0100 • clarfeld.com	Robert A. Clarfeld wealth@Clarfeld.com 1981	33 (Firm also services Fairfield County)	20 million 10,000	5.2 billion	✔		✔	✔	✔	✔	✔	✔	Multifamily office services, family office lifestyle support services and divorce, executive comp/benefits, retirement, tax preparation and cross-border tax planning and preparation
3	**LEXCO Wealth Management Inc.** 120 White Plains Road, Suite 112, Tarrytown 10591 468-8900 • lexcowealth.com	Christopher P. Jordan Betti J. Barone bbarone@lexcowealth.com 1999	14 (One additional advisor in Fairfield County)	800,000 2,500	820 million	✔	✔	✔	✔	✔	✔	✔	✔	NA
4	**Pell Wealth Partners** (A private wealth advisory practice of Ameriprise Financial Services Inc.) 800 Westchester Ave., Suite S300, Rye Brook 10573 253-8800 • pellwealthpartners.com	Geri Pell geri.e.pell@ampf.com 1986	7	WND	1.15 billion	✔				✔				Financial, intergenerational and divorce financial planning, socially responsible investing, women's financial strategies and wealth-preservation strategies retirement
5	**ITI Strategies Inc.** 994 Main St., Peekskill 10566 734-2800, ext. 20 • itistrategies.com	Lawrence DeNoia larry@itistrategies.com 1993	4	250,000 2,500	175 million	✔	✔	✔		✔			✔	Tax preparation and retirement-distribution planning
	Tompkins Financial Advisors 10 Bank St., White Plains 10606 946-1277 • tompkinsfinancialadvisors.com	Bill Winters bwinters@tompkinsfinancial.com 1890	4	1 million 1.25 percent	5.5 billion	✔		✔	✔	✔	✔	✔	✔	NA
6	**Financial Services Center Asset Management Inc.** 3944 Route 9G, Red Hook 12571 845-876-1919 • finserctr.com	Joseph F. Christiana jchristiana@finserctr.com 2003	3	68,800 .65-1.5 percent	16 million	✔		✔		✔		✔	✔	Asset management/advice, tax preparation/advice, insurance products/advice
	The Ostrove Group Inc. 4 New King St., Suite 101, White Plains 10604 428-4095 • ostrovegroup.com	Mitchell Ostrove mitch@ostrovegroup.com 1965	3	1 million 1 percent	100+ billion	✔	✔	✔	✔	✔	✔	✔	✔	Retirement planning, pensions, disability and long-term care insurance
7	**Eastern Planning Inc.** 800 Westchester Ave., Suite 641N, Rye Brook 10573 845-627-8300 • easternplanning.com	Beth Blecker bblecker@easternplanning.com 1995	2	500,000 1 percent	6 billion		✔	✔		✔		✔		Family wealth management
	Emerald Retirement Planning Group 5 Joyce Plaza, Larchmont 10538 845-942-8578 • emeraldretirement.com	Matthew S. Clement matthew@emeraldretirement.com 2004	2	NA	55 million	✔		✔	✔	✔	✔	✔	✔	NA
	John G. Ullman & Associates Inc. 30 E. Market St., Suite 1, Rhinebeck 12572 866-819-5046 • jgua.com	John G. Ullman 1978	2	450,000 2,000	770 million	✔		✔	✔	✔	✔	✔	✔	In-house tax department and retirement planning
	Sinnott Wealth Management Inc. 555 Taxter Road, Suite 190, Elmsford 10523 909-1524 • sinnottwealthmanagement.com	George S. Sinnott george@sinnottwealthmanagement.com Louann Rooney 1978	2	500,000 0	125 million	✔	✔	✔	✔	✔	✔	✔	✔	Retirement-distribution planning
8	**Ameriprise Financial Services Inc.** 111 Brook St., Third floor, Scarsdale 10583 341-1482 • michaelmazzilli.com	Michael Mazzilli michael.x.mazzilli@ampf.com 1894	1	500,000 1,000	WND			✔	✔	✔	✔	✔	✔	NA
	Christopher W. Judge CFP, AIF 1053 Saw Mill River Road, Suite 204, Ardsley 10502 693-6789 • cwjudgefinancial.com	Christopher W. Judge chris@cwjudgefinancial.com 1998	1	WND	50+ million			✔		✔		✔	✔	Qualified and nonqualified retirement plans, mutual funds, exchange traded funds, stocks, bonds, CDs, separately managed accounts, life insurance, long-term disability, major medical plans, group life insurance, group long-term disability and group long-term care
	Coughlin Financial Services Inc. 178 Myrtle Blvd., Larchmont 10538 834-1234 • coughlinfinancial.com	John M. Coughlin Jr. info@coughlinfinancial.com 2000	1	800,000 Negotiable	42 million	✔		✔	✔	✔	✔	✔	✔	Financial planning, investment management and estate planning
	Life Goals Asset Management LLC * Harrison 10528 798-4226 • lifegoalsllc.com	Scott Greenbaum scott.lifegoals@gmail.com 1992	1	WND	31 million	✔				✔				Retirement planning
	The Mitchell WealthCare Group 709 Westchester Ave., Suite 400, White Plains 10604 287-6074 • ubs.com/team/themitchellgroup/	Barry P. Mitchell Jr. Maggie Smith maggie.smith@ubs.com 2011	1	Varies	400 million	✔	✔	✔		✔	✔	✔		Retirement, longevity, income, cash flow and college planning and disability, life and long-term care insurance
	Paul M. Petrone CFP, CPA 67 Burns Place, Briarcliff Manor 10510 944-3073	Paul M. Petrone petronefinancial@yahoo.com 1990	1	WND 0	10-24 million	✔	✔	✔		✔		✔	✔	Retirement financial and tax specialist, social security, Medicare, retirement income planning and tax-efficient income and mitigating taxes
	Werlinich Asset Management LLC 14 Birch Lane, Rye Brook 10573 481-5888 • waminvest.com	Greg Werlinich greg@waminvest.com 1997	1	250,000 3,000	75 million	✔				✔				NA
	Westchester Wealth Advisers LLC 800 Westchester Ave., Suite 641 North, Rye Brook 11573 872-4010 • westwealthadv.com	Robert E. Mann rem@westwealthadv.com 2007	1	1 million 0	Less than 50 million	✔		✔	✔	✔	✔	✔	✔	Offers 50 state tax preparation services for individuals, businesses, trusts, etc. and IRS representation
Not ranked	**Morgan Stanley** 2000 Westchester Ave., Suite 1NC, Purchase 10577 225-4717 • morganstanleyfa.com/therubygroup/	Douglas Ruby douglas.ruby@morganstanley.com 1935	NA	NA	140+ million	✔	✔			✔	✔	✔		Banking and lending, insurance, annuities, philanthropic services, retirement planning and asset allocation

This list is a sampling of financial planners and wealth management firms that are located in the region.
If you would like to include your firm in our next list, please contact Danielle Renda at drenda@westfairinc.com.

* Address withheld at the company's discretion.

NA Not available.
WND Would not disclose.