Ranked by number of financial planners in the region. Listed alphabetically in event of tie.

Rank	Name Address Area code: 914, unless otherwise noted Website	Top local executive Contact (bold) Email address Year firm established	Number of financial planners in county	Average AUM per client ($) Minimum annual fee ($)	Total AUM ($)	Planning/management services offered: fee based	commission based	fee and commission based	estate planning	family and legal	investment management	philanthropy	risk management	tax planning	Other
1	**Strategies for Wealth** 800 Westchester Ave., Suite N409, Rye Brook 10573 288-8800 • strategiesforwealth.com	Josh Becker & Jerry Harnik **Kelly Biondi** kbiondi@strategiesforwealth.com 1934	75	WND	2.02 billion			✔	✔		✔		✔	✔	Business planning, business succession planning, disability insurance, life insurance, executive compensation, employee benefits, corporate retirement services, corporate education program and corporate executive benefits
2	**Clarfeld Financial Advisors** 520 White Plains Road, Tarrytown 10591 846-0100 • clarfeld.com	Robert A. Clarfeld rob@clarfeld.com 1981	37 (5 additional advisors in Fairfield County, Conn.)	15 million 2,000	5.47 billion	✔			✔	✔	✔	✔	✔	✔	Family office, bill pay concierge services, tax preparation, personal finance planning, retirement planning, executive planning services, cross-border, estate and tax services, and divorce planning
3	**Pell Wealth Partners*** 800 Westchester Ave., Suite S300, Rye Brook 10573 253-8800 • pellwealthpartners.com	Geri Pell Tony Rizzuto geri.e.pell@ampf.com 1986	8	530,000 1,800	430 million			✔	✔	✔	✔	✔	✔	✔	Divorce, LGBT and intergenerational financial planning, impact investing
4	**ITI Strategies Inc.*** 994 Main St., Peekskill 10566 734-2800 • itistrategies.com	Lawrence DeNoia larry@itistrategies.com 1993	4	250,000 2,500	175 million			✔	✔		✔			✔	Tax preparation and retirement-distribution planning
	Tompkins Financial Advisors 10 Bank St., White Plains 10606 946-1277 • tompkinsfinancialadvisors.com	William D. Winters, managing director Dimitrios Alissandratos dalissandratos@tompkinsfinancial.com 1846	4	1 million $1,000	3 billion			✔	✔		✔	✔	✔		Corporate trustee and executor
5	**Financial Services Center Asset Management Inc.** 3944 Route 9G, Red Hook 12571 845-876-1919 • finserctr.com	Joseph F. Christiana jchristiana@finserctr.com 2003	3	68,800 .65-1.5 percent	16 million	✔			✔		✔		✔	✔	Asset management/advice, tax preparation/advice, insurance products/advice
	The Ostrove Group Inc. 4 New King St., Suite 101, White Plains 10604 428-4095 • ostrovegroup.com	Mitchell Ostrove mitch@ostrovegroup.com 1965	3	1 million 1 percent	100+ billion			✔	✔	✔	✔	✔	✔	✔	Retirement planning, pensions, disability and long-term care insurance
6	**Eastern Planning Inc. *** 1 Blue Hill Plaza, Fifth floor, Pearl River 10965 845-627-8300 • easternplanning.com	Beth Blecker Liz Benuscak liz@easternplanning.com 1995	2	500,000 1 percent	6 billion		✔		✔		✔		✔		Family wealth management
	Emerald Retirement Planning Group 5 Joyce Plaza, Larchmont 10538 845-942-8578 • emeraldretirement.com	Matthew S. Clement mathew@emeraldretirement.com 2004	2	NA	NA			✔	✔	✔	✔		✔		Retirement planning and financial planning, Medicare consulting
	John G. Ullman & Associates Inc. * 30 E. Market St., Suite 1, Rhinebeck 12572 845-876-4499 • jgua.com	Barbara B. Markell Bruce T. Roepe markelb@jgua.com or roepeb@jgua.com 1978	2	450,000 2,000	770 million	✔			✔	✔	✔	✔	✔	✔	In-house tax department and retirement planning
	LEXCO Wealth Management Inc. * 120 White Plains Road, Suite 112, Tarrytown 10591 468-8900 • lexcowealth.com	Christopher P. Jordan Caroline Antonelli cantonelli@lexcowealth.com 2005	2 (2 additional advisors in Fairfield County, Conn.)	870,000 500	700 million			✔	✔		✔		✔		College, retirement, strategic financial, corporate and small-business services
	Sinnott Wealth Management Inc. * 555 Taxter Road, Suite 190, Elmsford 10523 909-1524 • sinnottwealthmanagement.com	George S. Sinnott george@sinnottwealthmanagement.com 1978	2	500,000 0	125 million			✔	✔	✔	✔	✔	✔	✔	Retirement-distribution planning
7	**Ameriprise Financial Services Inc.** 111 Brook St., Third floor, Scarsdale 10583 341-1482, ext. 200 • ameripriseadvisors.com/michael.x.mazzilli	Michael Mazzilli, private wealth advisor michael.x.mazzilli@ampf.com 1894	1	500,000 $2,500	NA	✔			✔	✔	✔			✔	Estate planning strategies, family finances, small business, women's financial strategies and retirement planning strategies
	Christopher W. Judge CFP, AIF 1053 Saw Mill River Road, Suite 204, Ardsley 10502 693-6789 • cwjudgefinancial.com	Christopher W. Judge chris@cwjudgefinancial.com 1997	1	WND	55 million			✔	✔		✔		✔		Qualified and nonqualified retirement plans, major medical plans, group life insurance, group long-term disability, group long-term care, mutual funds, exchange traded funds, stocks, bonds, CDs, separately managed accounts, long-term disability, long-term care and life insurance, including term, universal, variable and whole life
	Coughlin Financial Services Inc. * 178 Myrtle Blvd., Larchmont 10538 834-1234 • coughlinfinancial.com	John M. Coughlin Jr. info@coughlinfinancial.com 2000	1	800,000 Negotiable	42 million	✔			✔	✔	✔	✔	✔	✔	Financial planning, investment management and estate planning
	Life Goals Asset Management LLC * 500 Mamaroneck Ave., Suite 101, Harrison 10528 798-4226 • lifegoalsllc.com	Scott Greenbaum lifegoals@aol.com 1992	1	1.2 million 10,000	25 million	✔					✔		✔	✔	Retirement planning
	Paul M. Petrone CFP, CPA 67 Burns Place, Briarcliff Manor 10510 944-3073	Paul M. Petrone CFP, CPA petronefinancial@yahoo.com 1990	1	WND 0	100000			✔	✔		✔		✔	✔	Retirement-income planning, college planning, Medicare consulting and social security analysis
	Werlinich Asset Management LLC* 14 Birch Lane, Rye Brook 10573 481-5888 • waminvest.com	Greg Werlinich greg@waminvest.com 1997	1	225,000 WND	75 million	✔					✔				Specializes working with middle class, working families
	Westchester Wealth Advisers LLC * 800 Westchester Ave., Suite 641 North, Rye Brook 11573 872-4010 • westwealthadv.com	Robert E. Mann rem@westwealthadv.com 2007	1 (1 additional advisor in Fairfield County, Conn.)	1 million Generally 10,000	20 million	✔			✔	a	✔	a	✔	✔	Comprehensive wealth-management services performed by a CFP/ accountant/tax attorney

This list is a sampling of financial planners and wealth management firms serving the region. If you wish your firm to be included in our next listing, please contact Danielle Renda at drenda@westfairinc.com.

NA Not available
WND Would not disclose.
* Information from the 2014 listing and updated using company websites when possible.