

RANKED BY NUMBER OF FINANCIAL PLANNERS IN WESTCHESTER COUNTY AND THE HUDSON VALLEY FINANCIAL PLANNERS/WEALTH MANAGERS LISTED ALPHABETICALLY IN EVENT OF TIE.

Ranked by number of financial planners in the region.
Listed alphabetically in event of tie.

Rank	Name, address, phone number Area code: 914 (unless otherwise noted) Website	Top local executive Contact (bold) Email address Year firm established	Average AUM per client (\$) (bold) Minimum annual fee (\$)	Total AUM (\$)	Number of financial planners in county	Payment options		Planning/management services offered								
						fee based	commission based	fee and commission based	estate planning	family and legal	investment management	philanthropy	risk management	tax planning	Other	
1	Strategies for Wealth 800 Westchester Ave., Suite N409, Rye Brook 10573 288-8800 • strategiesforwealth.com	Josh Becker Kelly Blondi kblondi@strategiesforwealth.com 1934	WND	2 billion	110 (15 additional advisors in Fairfield County, Conn.)											Investment, life insurance, disability insurance and retirement planning.
2	Clarfied Financial Advisors 550 White Plains Road, Tarrytown 10591 846-0100 • clarfield.com	Robert A. Clarfield CPA, CFP rob@clarfield.com 1981	18 million 10,000	5 billion	35 (35 additional advisors in Fairfield County, Conn.)											Financial, estate and retirement planning, tax preparation and planning, investment advisory services, family office and risk management services
3	Northwestern Mutual Financial Network ^ The Rye Brook Financial Group 5 International Drive, Suite 100, Rye Brook 10573 275-4555 • northwesternmutual.com	Rob Seery CLU, CLF Steven Randall ChFC, CLU rick.flowers@nmfn.com 1857	WND	More than 25 million	11 Wealth-management advisors											Planning services for retirement, college, corporate and small-business benefits, insurance, including life, long-term care, disability and annuities
4	Pell Wealth Partners 800 Westchester Ave., Suite S300, Rye Brook 10573 253-8800 • pellwealthpartners.com	Gerl Pell CFP Tony Rizzuto anthony.f.rizzuto@ampf.com 1986	530,000 1,800	430 million	8											Divorce planning and LGBT planning, impact investing, intergenerational financial planning
5	Financial Services Center Asset Management Inc. 3944 Route 9G, Red Hook 12571 845-876-1919 • finscrctr.com	Joseph F. Christiana jchristiana@finscrctr.com 2004	55,000 65%	20 million	4											Asset management/advice, tax preparation/advice, insurance products/advice
	IT Strategies Inc. 994 Main St., Peekskill 10566 734-2800 • itstrategies.com	Lawrence DeNola CFP, CPA larry@itstrategies.com 1993	250,000 2,500	175 million	4											Tax preparation and retirement-distribution planning
	Tompkins Financial Advisors^ 10 Bank St., White Plains 10606 946-1277 • tompkinsfinancialadvisors.com	Tiffany O'Toole Managing director totoole@tompkinsfinancialadvisors.com 1891	1 million 1%	3 billion	4											Business continuity consulting, estate and insurance planning, tax preparation, charity endowment portfolio management, 401k and pension management, long-term care insurance planning
6	The Ostrove Group Inc. 4 New King St., Suite 101, White Plains 10604 428-4095 • ostrovergroup.com	Mitchell Ostrove mitch@ostrovergroup.com 1965	1 million 1%	3 billion	3											Retirement planning, pensions, disability and long-term care insurance
	Sinnott Wealth Management Inc.^ 555 Taxter Road, Suite 190, Elmsford 10523 909-1524 • sinnottwealthmanagement.com	George S. Sinnott george@sinnottwealthmanagement.com 1978	500,000 0	125 million	3											Retirement-distribution planning
7	Eastern Planning Inc. 1 Blue Hill Plaza, Fifth floor, Pearl River 10965 845-627-8300 • easternplanning.com	Beth Blecker Liz Benusaok liz@easternplanning.com 1995	500,000 1%	6 billion	2											
	John G. Ulman & Associates Inc. ^ 30 E. Market St., Suite 1, Rhinebeck 12572 845-876-4499 • jgua.com	Barbara B. Markell CFP Bruce T. Roepe marketb@jgua.com or roepeb@jgua.com 1978	450,000 2,000	770 million	2											In-house tax department and retirement planning
	LEXCO Wealth Management Inc.^ 120 White Plains Road, Suite 112, Tarrytown 10591 468-8900 • lexcowealth.com	Christopher P. Jordan Caroline Antonelli cantonnelli@lexcowealth.com 2005	870,000 500	700 million	2 (2 additional advisors in Fairfield County, Conn.)											College, retirement, strategic financial, corporate and small-business services
8	Christopher W. Judge CFP, AIF 1053 Saw Mill River Road, Suite 204, Ardsley 10502 693-6789 • cwjudgfinancial.com	Christopher W. Judge chris@cwjudgfinancial.com 1998	WND	50 million	1											Financial planning for individuals and businesses, insurance and retirement planning/management
	Coughlin Financial Services Inc.^ 178 Myrtle Blvd., Larchmont 10538 834-1234 • coughlinfinancial.com	John M. Coughlin Jr. CFP, CLU, AIF info@coughlinfinancial.com 2000	800,000 Negotiable	42 million	1											
	Emerald Retirement Planning Group 5 Joyce Plaza, Larchmont 10538 845-942-8578 • emeraldretirement.com	Matthew S. Clement CFP mathew@emeraldretirement.com 2004	WND	50 million	1											Retirement planning and financial planning, medicare consulting
	Life Goals Asset Management LLC^ 500 Mamaroneck Ave., Suite 101, Harrison 10528 798-4226 • lifegoalsllc.com	Scott Greenbaum lifegoals@aol.com 1992	1.2 million 10,000	25 million	1											Retirement planning
	Paul M. Petrone CFP, CPA^ 67 Burns Place, Briarcliff Manor 10510 944-3073	Paul M. Petrone CFP, CPA petronefinancial@yahoo.com 1991	WND 0	WND	1											Retirement-income planning
	Werlinich Asset Management LLC 14 Birch Lane, Rye Brook 10573 481-5888 • waminvest.com	Greg Werlinich greg@waminvest.com 1997	225,000 WND	75 million	1											
	Westchester Wealth Advisers LLC 800 Westchester Ave., Suite 641 North, Rye Brook 11573 872-4010 • westwealthadv.com	Robert E. Mann rem@westwealthadv.com 2007	1 million Generally 10,000	20 million	1 (1 additional advisor in Fairfield County, Conn.)											Comprehensive wealth-management services performed by a CFP/ accountant/tax attorney
NOT RANKED	Ameriprise Financial Services Inc. 111 Brook St., Third floor, Scarsdale 10583 341-1482, ext. 200 • ameripriseadvisors.com/michael.x.mazzilli	Michael Mazzilli michael.x.mazzilli@ampf.com 1894	More than 700,000 NA	More than 75 million	WND											

This list is a sampling of financial advising and wealth management firms that serve the region. If you wish your firm to be included in our next listing, please contact Danielle Renda at drenda@westfairinc.com.

Note: This list features companies that responded to our questionnaire.
^ Information from the 2013 listing.

NA Not available.
WND Would not disclose.