

Rank	Name, address, phone number Area code: 203 (unless otherwise noted) Website	Top local executive Contact (bold) Email address Year firm established	Average AUM per client (\$) (bold) Minimum annual fee (\$)	Total AUM (\$)	Number of financial planners in county	Payment options		Planning/management services offered								
						fee based	commission based	fee and commission based	estate planning	family and legal	investment management	philanthropy	risk management	tax planning	Other Services	
1	Barnum Financial Group 6 Corporate Drive, Shelton 06484 513-6000 • barnumfinancialgroup.com	Paul Blanco pblanco@metlife.com 1950	WND	8,359,278,959	51 (17 additional advisors in Westchester County, N.Y.)											Retirement planning, reduction strategies, estate conservation strategies, employee and executive benefits, employee education and guidance, special needs planning and career specific strategies
2	Northwestern Mutual Financial Network^ The Fairfield Financial Group 1 Elliot Place, Suite 200, Fairfield 06824 259-3377 • dicostanzogroup.com	Leonard DiCostanzo CLU len.dicostanzo@nmfn.com 1857	WND	156,443,935	27 Wealth-management advisors											
3	Northwestern Mutual Financial Network^ The Bender Financial Group 285 Riverside Ave., Suite 200, Westport 06880 221-5200 • benderfinancialgroup.nmfn.com	J. Phillip Bender phil.bender@nmfn.com 1857	WND	480,928,500	24 Wealth-management advisors											
4	Janney Montgomery Scott LLC* 2150 Post Road, Second floor, Fairfield 06824 • 800-628-7209 800 Post Road, Second floor, Darien 06820 • 800-822-2014 janney.com	Chris Wilkinson cwillkinson@janney.com 1832	183,000 NA	52.6 billion	22											Retirement income planning
5	Northwestern Mutual Financial Network^ The Wilton Financial Group 372 Danbury Road, Suite 255, Wilton 06897 834-3240 • wiltongroup.nmfn.com	Walter Pierz CLU walter.pierz@nmfn.com 1857	WND	60,859,268	11 Wealth-management advisors											
6	HTG Investment Advisors Inc. 50 Locust Ave., New Canaan 06840 972-8262 • htginvestmentadvisors.com	H. Thomas Gnuse Allison Donaldson allison@htgadvisors.com 1993	1.6 million \$3,000 for investment-only, \$6000 for financial planning	437 million	7											Retirement planning, college savings, charitable giving strategies and trust management
7	LLBH 33 Riverside Ave., Westport 06880 683-1530 • llbhpwm.com	Jim Pratt-Heaney, Kevin Burns, Bill Loftus and Bill Lomas Courtney Davies cdavies@llbhpwm.com 2008	10 million 25,000	1.6 billion	6 (6 additional advisors in Westchester County, N.Y.)											Tax efficient and creative planning solutions, asset management, corporate services, cash flow and budget management
8	Relyea Zuckerberg Hanson 1 Landmark Square, Stamford 06901 355-0880 • rzhadvisors.com	Carl Zuckerberg carz@rzhadvisors.com 2000	6 million 37,500	625 million	5											Comprehensive wealth management and divorce financial analysis
	Westport Resources 55 Greens Farm Road, Westport 06880 226-0222 • westportresources.com	John A. Vaccaro CFP, CLU Ashley Schexnaydre finance@westportresources.com 1987	WND	1.28 million	5 (5 additional advisors in the Westchester County and the Hudson Valley, N.Y.)											Family-office management, trust planning, investment reporting, insurance and business planning
	Reby Advisors LLC 44 Old Ridgebury Road, Danbury 06810 790-4949 • rebyadvisors.com	Bob Reby Laurie Ham laurie@rebyadvisors.com 1985	750,000 WND	350,000,000	5 (5 additional advisors in the Westchester County and the Hudson Valley, N.Y.)											Financial, retirement and college planning
9	Advanced Financial Advisors LLC Landmark Office Center 2 Old New Milford Road, Suite 3F, Brookfield 06804 877-326-6829 • planafa.com	John L. O'Brien Elizabeth Castellano elizabeth.castellano@fig.com 1991	1.3 million 0	55 million	4 (4 additional advisors in the Hudson Valley, N.Y.)											Business-succession planning, intergenerational wealth transition, executive benefits, life insurance analysis and planning, pension and defined contribution planning, individual retirement distribution and retirement income planning, health care and special needs planning
10	RDM Financial Group Inc.^ 1555 Post Road East, Westport 06880 255-0222 • rdmfinc.com	Ronald D. Weiner Jill Bodoesian rdm@rdmfinc.com 1994	WND	600 million	3											
11	Tuttle Wealth Management LLC 1 Stamford Plaza, 263 Tresser Blvd., Ninth floor, Stamford 06901 888-723-2621 • tuttleatactical.com	Matthew Tuttle mtuttle@atactical.com 2003	WND	200 million	2											

This list is a sampling of financial advisors and wealth management firms that serve the region. If you wish your firm to be included in our next listing, please contact Danielle Renda at drenda@westfairinc.com.

Note: This list features information submitted by companies via our questionnaire.

^ Information from the 2013 listing.

* Information from the 2012 listing.

NA Not available.

WND Would not disclose.